



1.0 Requirements

- 1.1 The requirements of this quality note shall apply only if traceability in accordance with TBA QN11 is specified on the Purchase Order.
- 1.2 Seller shall ensure the requirements of this document are flowed to and complied with by sub-tier suppliers and processors.
- 1.3 Tampa Brass & Aluminum Corp. shall identify each shipment or the components within a shipment with a specific lot identifier(s) as shown below. The lot identifier(s) shall be defined on the Purchase Order.
 - 1.3.1 If the product within a lot contains individual serial numbers, each serial number shall be listed on the Purchase Order.
- 1.4 Seller shall ensure upon receipt that the shipment contains the lot identifier, quantity, and/or serial numbers defined on the Purchase Order.
 - 1.4.1 Seller shall notify Tampa Brass & Aluminum Corp. within 1 business day of any nonconformities.
- 1.5 All product within a specified lot shall be processed at the same time, in the same batch, maintaining the integrity of the lot as much as practicable.
- 1.6 In the event of small quantity lots, or multiple lots with small quantities, Seller shall request approval from Tampa Brass & Aluminum Corp. to include multiple lots in one shipment, provided they are segregated, packaged, and identified separately.
- 1.7 Seller shall document each part number and lot identifier as a separate line item on all shipping documents (ex. packing slip, certificate of conformance, etc.). If applicable, Seller shall also list serial numbers on the shipping documents. All shipping documents shall include the following information for each line:
 - 1.7.1 Manufacturer name
 - 1.7.2 Lot identifier and/or serial number
 - 1.7.3 Manufacturer part number
 - 1.7.4 Country of origin; and
 - 1.7.5 Quantity.
- 1.8 Seller shall identify the packaging with the lot identifier (and serial numbers, if applicable) regardless of whether the parts themselves are marked.
 - 1.8.1 Seller shall mark the intermediate packaging with the appropriate marking for items that are too small to be individually marked.
 - 1.8.2 Seller shall mark each container within a shipment with the lot identifier and quantity. Seller shall not mix lots within the same unit package and or/intermediate packaging. If applicable, Seller shall also mark serial numbers on each container.